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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/629,975	07/30/2003	James Hunter Boone	TLAB.79219	9513
5251	7590	12/16/2004	EXAMINER	
SHOOK, HARDY & BACON LLP 2555 GRAND BLVD KANSAS CITY,, MO 64108			COOK, LISA V	
			ART UNIT	PAPER NUMBER
			1641	

DATE MAILED: 12/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/629,975	Applicant(s) BOONE ET AL.	
	Examiner Lisa V. Cook	Art Unit 1641	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 30 July 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-6 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-6 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

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### **DETAILED ACTION**

1. Please note that the Group and/or Art Unit location of your application in the PTO has changed. To aid in correlating any papers for this application, all correspondence regarding this application should be directed to Group Art Unit **1641**. All communications should be directed to **Lisa V. Cook**, whose telephone number is **(571) 272-0816**.

2. Claims 1-6 are pending and currently under consideration.

### ***Drawings***

3. No drawings were filed in the instant application.

### ***Information Disclosure Statement***

4. The listing of references in the specification is not a proper information disclosure statement. 37 CFR 1.98(b) requires a list of all patents, publications, or other information submitted for consideration by the Office, and MPEP § 609 A(1) states, "the list may not be incorporated into the specification but must be submitted in a separate paper." Therefore, unless the examiner on form PTO-892 or applicant on PTO-1449 has cited the references they have not been considered.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter, which the applicant regards as his invention.

5. Claims 1 and 2 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

A. Claim 1 is vague and indefinite in reciting “endogenous” lactoferrin because it is not clear as to what the term is to encompass. As recited the metes and bounds of the claim cannot be determined. The term "endogenous" is not defined by the claim, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. Is it applicant's intent to mean the lactoferrin is found within the patient or is native to the patient? Please clarify.

B. In claims 2 the use of the phrase “serial ten-fold dilutions” is vague and indefinite. The claim is directed to an assay in which the test sample is diluted, however it is not clear what dilution will be conducted. The claim appears to read on an infinite number of serial ten-fold dilutions. It is not clear if applicant means the sample will be diluted 1:10 or 1:? prior to assaying. Appropriate correction is required.

***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

I. Claim 6 is rejected under 35 U.S.C. 102(b) as being anticipated by Sugi et al. (The American Journal of Gastroenterology, Vol.91, No.5, 927-934, 1996).

Sugi et al. disclose that lactoferrin (LF) levels were elevated in fecal samples of patients with inflammatory bowel disease. See abstract. The ELISA assay procedure is disclosed on page 928. LF elevation was seen in various disease states. Sugi et al. measure multiple samples at different times (1<sup>st</sup> and 2<sup>nd</sup> samples wherein the second sample concentration is measured at a time later than the first sample measurement). In particular the multiple sampling analysis is seen on page 929 in figure 2 for example. LF concentrations are measured at different time intervals, which include 24hours, 48hours, 72hours, and 96hours. The LF concentrations are subsequently compared to each other in figure 2 – A, B, and C (48hrs – 72hours –96 hours are all later than the first 24hour LF detection).

***Claim Rejections - 35 USC § 103***

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

II. Claims 1-3 are rejected under 35 U.S.C. 103(a) as being unpatentable over Uchida et al. (US Patent #5,552,292).

Uchida et al. teach methods to measure lactoferrin in fecal samples. Lactoferrin is taught to be a marker for various diseases related to inflammatory gastrointestinal disorders and colon cancer. Column 2 lines 46-59. Lactoferrin was found to be the most stable substance in feces. Column 3 lines 10-11. Specifically a polyclonal antibody for lactoferrin (DAKOPATT) is employed to measure lactoferrin in inflammatory diarrhea specimens. Column 5 lines 57-61.

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The method was performed in an enzyme-linked immunoassay format. A polyclonal antibody against lactoferrin (anti-human lactoferrin antibody) is immobilized onto wells of a 96-well polystyrene micro plate. The plate is contacted with diluted fecal specimen (column 11 lines 31-33 wherein 50 $\mu$ l of sample is added to 100 $\mu$ l %1BSA and TBS buffer) and detected with a polyclonal antibody labeled with alkaline phosphatase (anti-human-lactoferrin antibody). See column 11 example 2 and column 5 lines 14-19. The results were correlated to standards prepared with purified lactoferrin. Column 6 lines 13-19. The assay results were detected at 510/630nm absorbance. Column 11 lines 53-56. Increased levels of lactoferrin were demonstrated to several diseases. See column 12-Results.

Kit embodiments are also disclosed. The kit contains antibodies immobilized on a solid phase (micro plate), an enzyme linked antibody, and a chromogene (enzyme substrate for color development). See column 4 lines 1-9 and column 5 lines 36-40.

With respect to endogenous lactoferrin, it is noted that the lactoferrin detected by Uchida et al. were found within the patient (endogenous to the patient) and occurred as a result of disorders. Normal patients exhibited very small amounts of lactoferrin (0.75 – 2.4 $\mu$ g/g feces) and Uchida et al. taught that their method could be used in various types of lactoferrin (column 6 lines 58-61). Therefore absent evidence to the contrary Uchida et al. teach the detection of endogenous lactoferrin.

Uchida et al. differ fro the instant invention in not specifically teaching sample detection at 450nm.

However, Uchida et al. teach that the absorbance measurement is routinely adjusted to optimize the assay. See column 5 lines 30-32. Absent evidence to the contrary the detection of the lactoferrin assay taught by Uchida et al. is routine optimization. It would have been obvious to one having ordinary skill in the art at the time of the invention was made to measure lactoferrin at a 450nm absorbance reading, since it has been held that discovering an optimal value of a result effective variable involves only routine skill in the art. In re Boesch, 617 F.2d 272, 205, USPQ 215(CCPA 1980).

III. Claims 4 and 5 are rejected under 35 U.S.C.103(a) as being unpatentable over Uchida et al. (US Patent #5,552,292) in view of Foster et al. (U.S. Patent#4,444,879).

Please see Uchida et al. as set forth above.

Although Uchida et al. teach the reagents required by the claims, they do not specifically teach the inclusion of all the reagents in kit configurations (in particularly the purified human lactoferrin – taught in '292 column in column 6 lines 14-16 and stop solution or coloring reagent – taught in '292 column 5 line 29-30). In other words, the reference fails to teach all the reagents as a kit. However, kits are well known embodiments for assay reagents. Foster et al. (U.S. Patent #4,444,879) describe one example. In their patent kits including the reactant reagents, a micro plate, positive controls, negative controls, standards, and instructions are taught. The reagents are compartmentalized or packaged separately for utility. See figure 6, and column 15, lines 10-34.



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It would have been prima facie obvious to one of ordinary skill in the art at the time of applicant's invention to take the detection assay reagents as taught by Uchida et al. and format them into a kit because Foster et al. teach that it is convenient to do so and one can enhance sensitivity of a method by providing reagents as a kit. Further, the reagents in a kit are available in pre-measured amounts, which eliminates the variability that can occur when performing the assay. Kits are also economically beneficial in reagent distribution.

8. For reasons aforementioned, no claims are allowed.

***Remarks***

9. Prior art made of record and not relied upon is considered pertinent to the applicant's disclosure:

A. Tabata et al. (Rinsho Byori, 1997, 45(12), 1201-1203 – Abstract Only) teach that lactoferrin is useful in monitoring inflammatory bowel disease.

B. Mathias et al. (Digestive Diseases and Sciences, June 1994, Vol.39, No.6, 1155-1162) disclose methods for assessing bowel disease detecting duplicate patient samples to allow for test drug assessment (leuprolide). See abstract and page 1160-Discussion.

10. Papers related to this application may be submitted to Group 1600 by facsimile transmission. Papers should be faxed to Group 1600 via the PTO Fax Center located in Crystal Mall 1. The faxing of such papers must conform to the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). The Group 1641 – Central Fax number is (571) 273-8300, which is able to receive transmissions 24 hours/day, 7 days/week. In the event Applicant would like to fax an unofficial communication, the Examiner should be contacted for the appropriate Right Fax number.

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Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lisa V. Cook whose telephone number is (571) 272-0816. The examiner can normally be reached on Monday - Friday from 7:00 AM - 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le, can be reached on (571) 272-0823.

Any inquiry of a general nature or relating to the status of this application should be directed to the Group TC 1600 whose telephone number is (571) 272-1600.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



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11/23/04



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